

Attorney's Docket No.: 16601-021US1

Receipt
IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Samuel Weiss
Serial No. : 10/523,253
Filed : January 26, 2005
Title : OLIGODENDROCYTE PRODUCTION FROM MULTIPOTENT NEURAL
STEM CELL

Art Unit : 2655
Examiner : Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the Filing Receipt for the above-referenced application to designate small entity status.

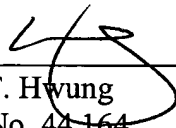
Attached is a copy of the Transmittal Letter retrieved from PAIR for this application which indicates that small entity status was properly claimed at the time of filing. Also attached is a copy of the original Filing Receipt showing the desired changes highlighted and/or in red ink for your convenience.

Kindly supply a corrected Filing Receipt to the undersigned with respect to this application.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date: Aug. 24, 2005



Ping F. Hwung
Reg. No. 44,164

Fish & Richardson P.C.
500 Arguello Street, Suite 500
Redwood City, California 94063
Telephone: (650) 839-5070
Facsimile: (650) 839-5071

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

August 24, 2005

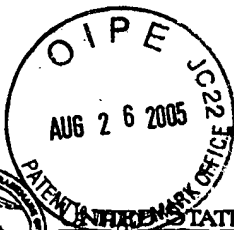
Date of Deposit



Signature

Melissa Alexander

Typed or Printed Name of Person Signing Certificate



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/523,253	01/26/2005	2655	1900	16601-021US1	6	40	2

CONFIRMATION NO. 8661

26181
 FISH & RICHARDSON P.C.
 PO BOX 1022
 MINNEAPOLIS, MN 55440-1022

FILING RECEIPT



OC000000016601185

Date Mailed: 07/26/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Samual Weiss, Alberta, CANADA;

Power of Attorney: The patent practitioners associated with Customer Number 26181.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/CA03/01151 07/30/2003
 which claims benefit of 60/399,192 07/30/2002

Foreign Applications

Projected Publication Date: 11/03/2005

Non-Publication Request: No

Early Publication Request: No

SMALL ENTITY

Title

Oligodendrocyte production from multipotent neural stem cells

Preliminary Class



369

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

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NOT GRANTED

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AUG 26 2005

DT02 Rec'd PCT/PTO

SUBSTITUTE FORM PCT/PTO 1390

26 JAN 2005

§ TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER
 16601-021US1

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)
 unassigned

10/523253

INTERNATIONAL APPLICATION NO.
 PCT/CA2003/001151

INTERNATIONAL FILING DATE
 30 July 2003

PRIORITY DATE CLAIMED
 30 July 2002

TITLE OF INVENTION
 OLIGODENDROCYTE PRODUCTION FROM MULTIPOTENT NEURAL STEM CELL

APPLICANT(S) FOR DO/EO/US
 Samuel Weiss

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:


1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. § 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. § 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. § 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. § 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. § 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. § 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. § 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 C.F.R. § 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. § 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. § 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. § 154(d)(4).
20. ☒ Other items or information:
 Return postcard

CERTIFICATE OF MAILING BY EXPRESS MAIL
 Express Mail Label No. EV 321 390 154 US
 Date of Deposit: 26 January 2005

DT Rec'd PCT/PTO 26 JAN 2005

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.52) unassigned 107523253		INTERNATIONAL APPLICATION NO. PCT/CA2003/001151		ATTORNEY'S DOCKET NUMBER 16601-021US1	
21. The following fees are submitted:					
<input checked="" type="checkbox"/> a) Basic national fee.....\$300				\$300.00	
<input checked="" type="checkbox"/> b) Examination fee.....\$200				\$200.00	
<input checked="" type="checkbox"/> c) Search fee.....\$500				\$500.00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00				\$1000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
-100 =	/50 =		X \$250		
Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$	
				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	40 - 20 =	20	x \$50	\$1000	
Independent Claims	2 - 3 =	0	x \$200	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360	\$0.00
TOTAL OF ABOVE CALCULATIONS =				\$2000.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27. The fees indicated above are reduced by 1/2.				\$1000.00	
SUBTOTAL =				\$1000.00	
Processing fee of \$130 for furnishing the English Translation later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f))				+	\$0.00
TOTAL NATIONAL FEE =				\$1,000.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				+	\$0.00
TOTAL FEES ENCLOSED =				\$1,000.00	
07/25/2005 WALVARAD 0019163100 Sale Ref: 00000004 DAH: 061050 01 FC:1615 1000.00				\$	
Amount to be charged:				\$	
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$1,000.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 06-1050 in the amount of \$0.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 C.F.R. 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the International Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p>					
PTO Customer No: 26181			SIGNATURE 		
			NAME Ping F. Hwung		
			REGISTRATION NUMBER 44,164		